Performance Outcomes Data Collection and Submission California Department of Mental Health Performance Outcome & Quality Improvement Unit (POQI) November 2006



Overview of Training

- ⇒ Data collection methodology
- **⇒** Confidentiality issues
- **⊃**County staff preparation
- **⇒** Collecting the data
- ⇒ Data submission options
- ⇒Getting your data back







Data Collection Methodology

- "Snap-shot" or "Pointin-time" approach
- New sample every time (twice a year)
- Two week data collection period



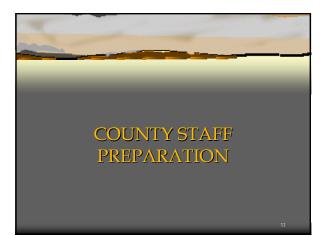
3 Data Submission Options

- **Option 1:** On-line key/mouse data entry (Key Entry)
- **⊃ Option 2:** Local scanning & web-based data verification (Scan & Verify)
- **⊃ Option 3:** ITWS Web-based text data upload (ITWS)

Instrumentation Adult Survey Older Adult Survey Youth Services Survey (YSS) Youth Services Survey for Families (YSS-F)

HIPAA & Confidentiality

- ⇒ State Law (W&I code sec. 5610) requires the collection of performance outcome data
- ⇒ HIPAA requirements for authorizations from consumers DO NOT APPLY!
- Rest of Privacy Rules do apply



County Staff Preparation

- Survey form characteristics
- ⇒ Down loading forms
- Printing forms
- ⇒ Preparing forms
- ⇒ Administering the survey
- Staff authorization
- Confidentiality



What Survey Forms to Use??

- ⇒ Do **NOT** use forms from previous surveys
- ⇒ Must use DMH Forms for Scan&Verify Option
- ⇒ Key Entry and ITWS users may use DMH forms
- ⇒ DIY must follow data dictionaries http://www.dmh.ca.gov/POQI/documents.asp

Age Appropriate Forms

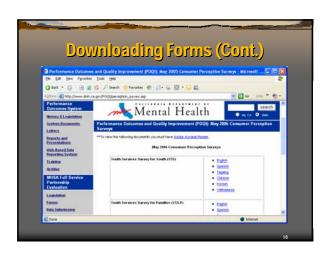
- ⇒ Older Adults age 60 + get Older Adult Form *May 2005 24% older adults were under 60 (Wrong form or Wrong age?) * Nov 2004 over 20%
- ⇒ Youth age 13-17 and Transitional Aged Youth get the Youth Survey
- ⇒ Adults age 18-59 get Adult Survey

DMH Survey Form Characteristics

- ⇒ Teleform system forms 4 'Posts' for Teleform reader, unique Key
- ⇒ Page linking number CSI CCN <u>Not</u> prefilled boxes







Finish Downloading

Save the survey forms to hard drive or to a disk



Image Quality Critical For Scan & Verify

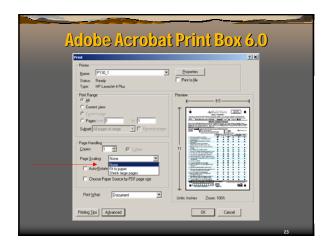
- Scan & verify technology demands good image quality
- ⇒ Copies are NEVER as sharp as originals
- Print each survey form needed directly from Adobe file. Do not make copies
- ⇒ Can take disk to copy shop & have forms printed from file

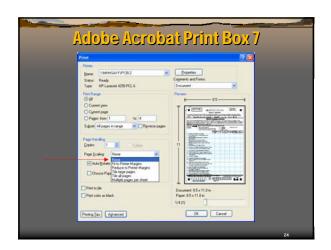
More Printing Instructions

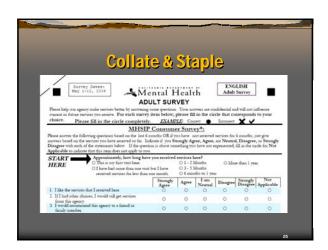
⇒ Before downloading UNCHECK box "Shrink oversize pages to paper size" (Acrobat 5) OR

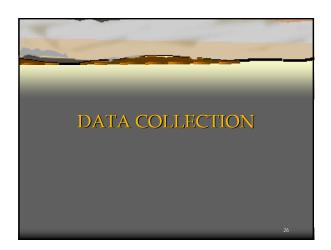
Page scaling box shows 'None' (Acrobat 6 & 7)

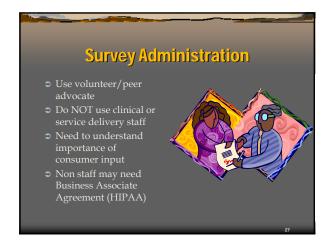












Good Data Come from Well Trained Staff

- Train the people who will collect the data
- ⊃ Train re: Form type (right age, right language)
- Train to review form when turned in



How to Mark Teleform Surveys

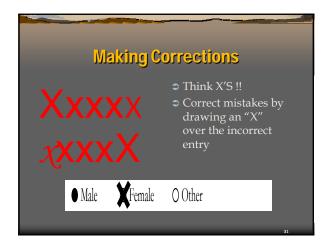
- ⇒ Staff need to know correct way to mark forms
 - 1. To help consumers complete survey forms
 - 2. "For Office Use Only" section on each

Marking Survey Form

THINK
BUBBLES!

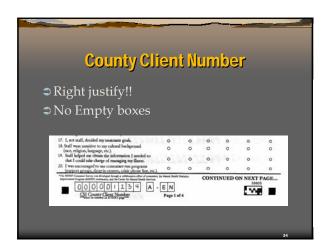
Only one answer
(bubble) for most
questions

Correct ■ Incorrect ★ ♥

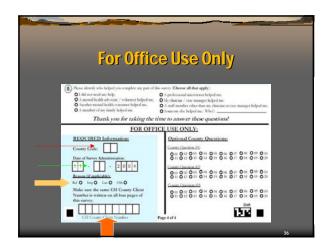


County Completed Items

- ⇒ CSI County Client Number (CCN)
- **⇒** County code
- **⊃** Date of survey
- ⇒ When applicable, why consumer did not complete form
- **⇒** Optional County Questions

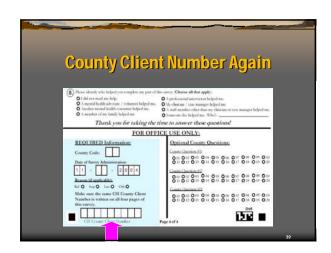












Optional county questions 3 Optional county questions Last page of every form Only one answer (bubble) for each question (20 possible codes)

Confidentiality of Data

- State laws provide consumers with privacy rights
- ⇒ HIPAA provides protection and prison sentences
- ⇒ Data are confidential and must be protected
- ⇒ After surveys turned in, handle in confidential manner



3 Data Submission Options

- Option 1: On-line key/mouse data entry (Key Entry)
- Option 2: Local scanning & web-based Data verification (Scan & Verify)
- ⇒ Option 3: ITWS Web-based text data upload (ITWS)

System Authorization

- ⇒ 2 separate Authorization Processes
 - POQI Authorization for Key entry & Scan & Verify option
 - 2. ITWS Authorization

System Authorization

- ⇒ Every county has an 'Approver'
- ⇒ Names of Approvers on file w/ITWS email: itws@dmh.ca.gov
- ⊃'Approver' submits list of people to be authorized for both processes
- → Must RENEW every data collection period

POOL Authorization

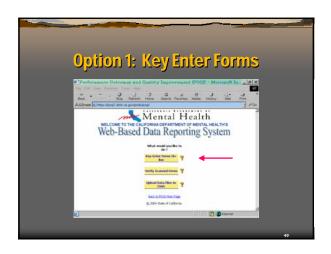
- ⇒ For people accessing key entry system
- ⇒ For people Scanning documents
- ⇒ For people Verifying documents
- ⇒ List of people sent by Approver to poqi.support@dmh.ca.gov
- Must include name, email address, tel number

ITWS Authorization

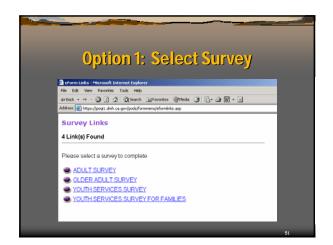
- ⇒ Limit number of people authorized
- ⇒ Only those who will upload & download should have ITWS authorization (NOT everybody needs to be authorized)
- ⇒Go to: https://mhhitws.cahwnet.gov/ choose 'Enroll' and follow instructions

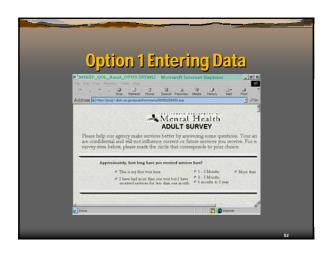
Option 1 Online Key-Mouse Data Entry

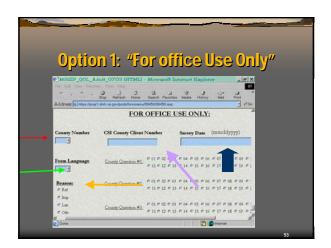
- **⇒**Start by opening your web browser
- ⇒Go to POQI website at https://poqi1.dmh.ca.gov/pods/poqi/

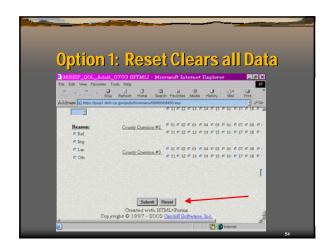


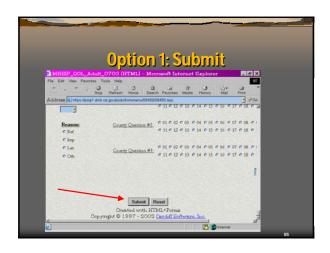


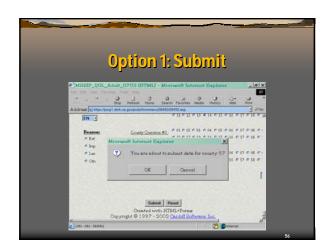


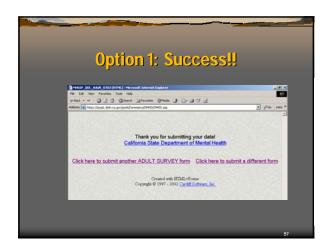






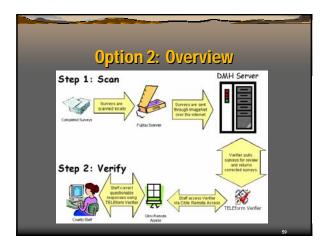






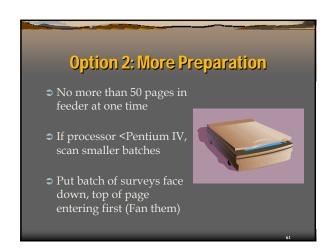
Option 2: Scan & Verify

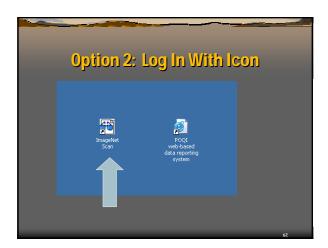
- ⇒ Very Cool
- Counties need special equipment Fujitsu Scanner ImageNet Scan v. 4.5 (Software) Citrix ICA Web Client



Option 2: Form Preparation

- ⇒ Cut staples off , not corner 'Posts'
- ⇒ No dog-ears
- ⇒ CCN = page linking number = same on each page (Make sure!!)
- ⇒ Keep pages of one form together in one batch





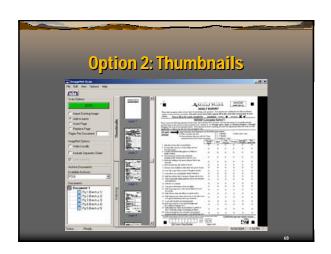














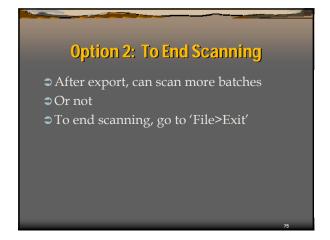






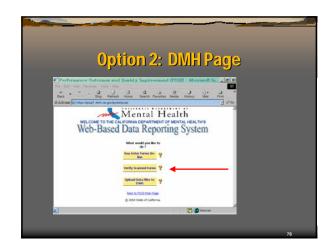




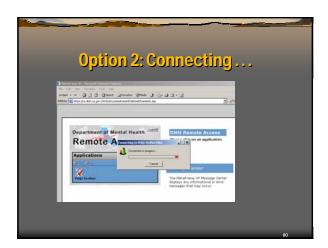














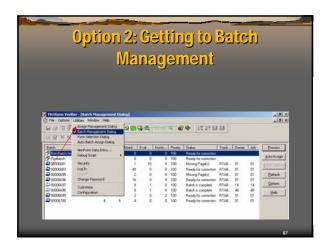














Option 2: Status of Batches Ready for Correction Missing pages Ready to be Committed Batch is Completed (Yea!)

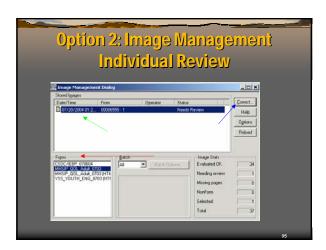




Option 2: Status of Surveys Needs Review Missing Page Evaluated OK (but not ok!!) Export Complete (Yea!)



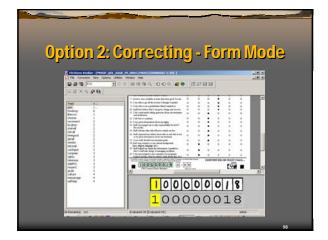


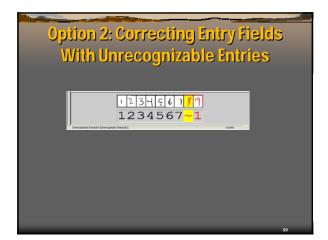


Option 2: Correcting Forms in Teleform Verifier Teleform only presents fields that need review Teleform will make a 'best guess' about what is correct response Up to person doing the verifying to make final determination

Option 2: Correcting Surveys: Form Mode

- ⇒Streamline Verifying: Form Mode
- ⇒ Use Tab key, Space Bar, Enter key, Arrow keys to navigate thru fields





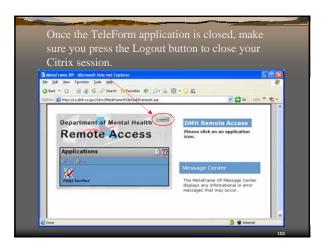
Option 2: Saving Corrections Note: XI Save corrections to results file? Cancel

Option 2: After Saving

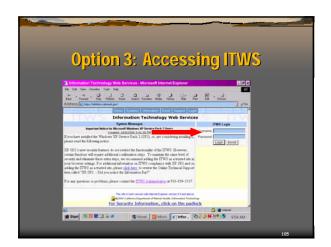
- □ In Batch Management mode: Congratulations Message (Click 'OK' or 'Cancel')
- ⇒ In Image Management Mode: Another form or Image Management Window

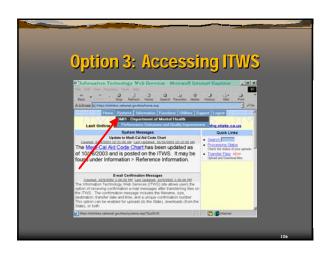
Option 2: Exiting Teleform

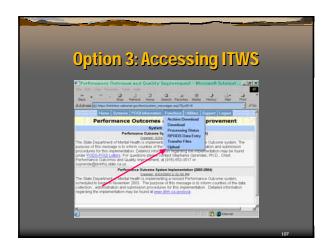
- ⇒ To close the Teleform Verifier click File>Exit
- ⇒ To exit Teleform Verifier in middle of correcting, click File>Exit and a prompt will ask you if you want to save completed correction, click 'OK'



Option 3: ITWS • Web-based text data upload • Authorized user accesses the ITWS site by using web browser to go to https://mhhitws.cahwnet.gov/

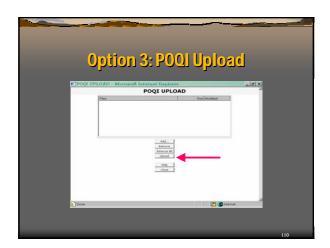


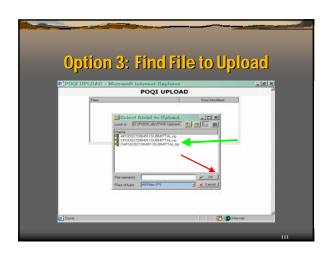


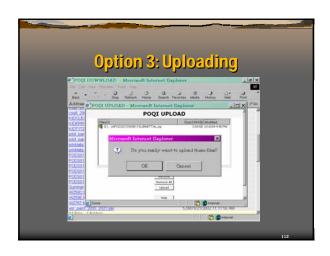


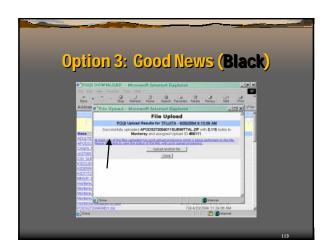


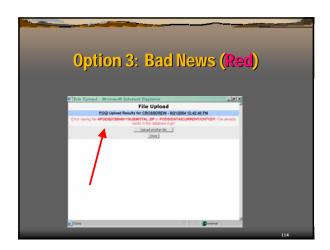


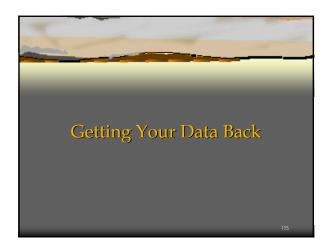












Getting Data Back

- ⇒ All counties must have completed data submission by January 31, 2007
- ⇒ If EVERYONE gets data in by January 31, data can be returned by February 7th
- ⇒ To Get data Back online and go to https://mhhitws.cahwnet.gov

